

Analysis and Verification of Higher Order Functional Programs: Automata-Theoretic Approach

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Automata-theoretic approach

- Only requires reachability and fair termination verifiers
- A standard method for imperative programs

**Automata-theoretic approach applied to
temporal verification of Ocaml programs
with high-order procedures**

Our work

- Monitoring for evaluation trees
- Product construction
- Evaluation

Our work

- **Monitoring for evaluation trees**
- Product construction
- Evaluation

A simple program

1+2

Evaluation judgement

$$\emptyset \vdash 1+2 \Rightarrow 3$$

Evaluation tree

$$\frac{}{\emptyset \vdash 2 \Rightarrow 2}$$

$$\frac{}{\emptyset \vdash 1 \Rightarrow 1}$$

$$\frac{}{\emptyset \vdash + \Rightarrow +}$$

$$\frac{}{\emptyset \vdash 1+2 \Rightarrow 3}$$

Evaluation of $1+2$

$$\emptyset \vdash 1+2 \Rightarrow$$

Evaluation of $1+2$

$$\emptyset \vdash 2 \Rightarrow$$

$$\emptyset \vdash 1+2 \Rightarrow$$

Evaluation of $1+2$

$$\emptyset \vdash 2 \Rightarrow 2$$

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Evaluation of $1+2$

$$\frac{}{\emptyset \vdash 2 \Rightarrow 2}$$

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Evaluation of $1+2$

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Evaluation of $1+2$

$$\frac{}{\emptyset \vdash 2 \Rightarrow 2}$$

$$\frac{}{\emptyset \vdash 1 \Rightarrow 1}$$

$$\frac{}{\emptyset \vdash + \Rightarrow +}$$

$$\emptyset \vdash 1+2 \Rightarrow 3$$

A simple monitor

Count additions

$$1+2$$

Monitored tree

$$\frac{}{\sigma_2^\uparrow \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow \sigma_2^\downarrow}$$

$$\frac{}{\sigma_3^\uparrow \uparrow \emptyset \vdash 1 \Rightarrow 1 \downarrow \sigma_3^\downarrow}$$

$$\frac{}{\sigma_4^\uparrow \uparrow \emptyset \vdash + \Rightarrow + \downarrow \sigma_4^\downarrow}$$

$$\sigma_1^\uparrow \uparrow \emptyset \vdash 1 + 2 \Rightarrow 3 \downarrow \sigma_1^\downarrow$$

Monitored tree

Initial state: 0

$$\sigma_2^\uparrow \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow \sigma_2^\downarrow$$

$$\sigma_3^\uparrow \uparrow \emptyset \vdash 1 \Rightarrow 1 \downarrow \sigma_3^\downarrow$$

$$\sigma_4^\uparrow \uparrow \emptyset \vdash + \Rightarrow + \downarrow \sigma_4^\downarrow$$

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Monitoring of 1+2

Initial state: 0

Current state

$$\frac{}{\sigma_2^\uparrow \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow \sigma_2^\downarrow}$$

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Monitoring of 1+2

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Monitoring of 1+2

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Current state

Monitoring of $1+2$

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Current state

Monitoring of $1+2$

Current state

$$0 \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow \textcircled{0}$$

$$\sigma_3 \uparrow \uparrow \emptyset \vdash 1 \Rightarrow 1 \downarrow \sigma_3 \downarrow$$

$$\sigma_4 \uparrow \uparrow \emptyset \vdash + \Rightarrow + \downarrow \sigma_4 \downarrow$$

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Monitoring of $1+2$

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Addition counting monitor

$$M_+ = (\mathbb{N}, 0, \rho_+)$$

Addition counting monitor

$$M_+ = (\mathbb{N}, 0, \rho_+)$$



Monitor states

Addition counting monitor

$$M_+ = (\mathbb{N}, 0, \rho_+)$$



Monitor states

Initial state

Addition counting monitor

$$M_+ = (\mathbb{N}, 0, \rho_+)$$

Monitor states

Initial state

State transition
function

State transition function

$$M_+ = (\mathbb{N}, 0, \rho_+)$$

$$\rho_+(\sigma, j, \delta) = \begin{cases} \sigma + 1 & \text{if } \delta = \downarrow \wedge \\ & \exists \mathcal{E}, e_1, e_2, v : j = \mathcal{E} \vdash e_1 + e_2 \Rightarrow v \\ \sigma & \text{otherwise} \end{cases}$$

State transition function

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(State X Judgement X Direction) \rightarrow State

State transition function

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(State X Judgement X Direction) \rightarrow State

Monitoring with ρ_+

$$\frac{}{\sigma_2^\uparrow \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow \sigma_2^\downarrow}$$

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$$\sigma_1^\uparrow \uparrow \emptyset \vdash 1 + 2 \Rightarrow 3 \downarrow \sigma_1^\downarrow$$

$$\rho_+(\mathbf{0}, (\emptyset \vdash 1 + 2 \Rightarrow 3), \uparrow)$$

Monitoring with ρ_+

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Monitoring with ρ_+

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$$\rho_+(0, (\emptyset \vdash 1 + 2 \Rightarrow 3), \uparrow)$$

$$\rho_+(0, (\emptyset \vdash 2 \Rightarrow 2), \uparrow)$$

Monitoring with ρ_+

$$\rho_+(0, (\emptyset \vdash 2 \Rightarrow 2), \downarrow)$$

$$\overset{0}{\uparrow} \emptyset \vdash 2 \Rightarrow 2 \downarrow \overset{0}{\downarrow}$$

$$\frac{}{\sigma_3^{\uparrow} \uparrow \emptyset \vdash 1 \Rightarrow 1 \downarrow \sigma_3^{\downarrow}}$$

$$\frac{}{\sigma_4^{\uparrow} \uparrow \emptyset \vdash + \Rightarrow + \downarrow \sigma_4^{\downarrow}}$$

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$$\rho_+(0, (\emptyset \vdash 1 + 2 \Rightarrow 3), \uparrow)$$

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Monitoring with ρ_+

$$\frac{}{0 \uparrow \emptyset \vdash 2 \Rightarrow 2 \downarrow 0}$$

$$\frac{}{0 \uparrow \emptyset \vdash 1 \Rightarrow 1 \downarrow 0}$$

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$$0 \uparrow \emptyset \vdash 1 + 2 \Rightarrow 3 \downarrow 1$$

$$\rho_+(0, (\emptyset \vdash 1 + 2 \Rightarrow 3), \downarrow)$$

Our work

- **Monitoring for evaluation trees**
- Product construction
- Evaluation

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Product construction

User program

```
let f x =  
  if x < 0 then 0 else f (x - 1)
```

Monitor

M

Product construction

User program

```
let f x =  
  if x < 0 then 0 else f (x - 1)
```

Monitoring code

```
assert(x > 0)
```

Product construction

User program

```
let f x =  
  if x < 0 then 0 else f (x - 1)
```

Monitoring code

```
assert(x > 0)
```

```
let f x = if x < 0 then 0 else assert(x > 0); f (x - 1)
```

Monitor specification

$$S_+ = (0, \text{mtrans_sum})$$

Monitor specification

$$S_+ = (0, \text{mtrans_sum})$$

Initial state expression

Monitor specification

$$S_+ = (0, \text{mtrans_sum})$$

Initial state expression

State transformer
procedure

State transformer procedure

$$S_+ = (0, \text{mtrans_sum})$$

```
function mtrans_plus counter expression direction =  
  match expression, direction with  
  | <expr_patt< + $_$ >>, Down ->  
    <expr_term< $counter$ + 1 >>  
  | _ -> counter
```

State transformer procedure

$$S_+ = (0, \text{mtrans_sum})$$

```
function mtrans_plus counter expression direction =  
  match expression, direction with  
  | <expr_patt< + $_$ >>, Down ->  
    <expr_term< $counter$ + 1 >>  
  | _ -> counter
```

(State X Expression X Direction) → Monitoring code

State transformer procedure

$$S_+ = (0, \text{mtrans_sum})$$

```
function mtrans_plus counter expression direction =  
  match expression, direction with  
  | <expr_patt< + $_$ >>, Down ->  
    <expr_term< $counter$ + 1 >>  
  | _ -> counter
```

(State X Expression X Direction) → Monitoring code

Product of $1+2$ and M_+

$$1 + 2$$

Product of $1+2$ and M_+

```
let x_plus =  
  (fun x_11 x_12      -> x_11 + x_12      )  
in  
let x_1_plus_2      = x_plus 1 2      in  
x_1_plus_2
```

Product of $I + 2$ and M_+

```
let x_plus =  
  (fun x_11 x_12 s_1_pre -> x_11 + x_12, s_1_pre)  
in  
let x_1_plus_2, s_1_plus_2 = x_plus 1 2 s in  
x_1_plus_2, s_1_plus_2 + 1
```

High order program

```
let rec fold_left f accu l =  
  match l with  
  | [] -> accu  
  | a::t ->  
    let accu' = f accu a in  
    fold_left f accu' t
```

High order product

```
let rec fold_left_m f accu l c =  
  match l with  
  | [] -> accu, c  
  | a::t ->  
    let accu', c' = f accu a (c + 1) in  
    fold_left_m f accu' t c'
```

High order product

```
let rec fold_left_m f accu l c =  
  match l with  
  | [] -> accu, c  
  | a::t ->  
    let accu', c' = f accu a (c + 1) in  
    fold_left_m f accu' t c'
```

High order product

```
let rec fold_left_m f accu l c =  
  match l with  
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```

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Our work

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- **Evaluation**

FunV

- Product construction algorithm
- Reachability checker Dsolve [1]

[1] M. Kawaguchi, P. M. Rondon, and R. Jhala. Type-based data structure verification. In *PLDI*, 2009.

Evaluation

- 600+ LOC
- 62 benchmarks [1–4]

#	Name	Description	Class
1	rev_aux	List reversal helper function.	LinIneq
2	reverse	List reversal function.	LinIneq
3	ins	Ordered insert function	LinIneq
4	clone	Create a pair of copies of a list.	LinIneq
5	tpo	Insert an element in every third position of a list.	LinIneq
6	sec	Remove every third element from a list.	LinIneq
7	tos	Replace each third element of a list.	LinIneq
8	append	List append.	LinIneq
9	split_by	Split list.	LinIneq
10	append	List append.	LinIneq
11	breadth_aux▲	Breadth first tree fold auxiliary function.	LinIneq
12	breadth▲	Breadth first tree fold function.	LinIneq
13	app_tail○	Apply function to tail of list.	LinIneq
14	compose_list○	Martin Hofmann's composing (or folding) all functions in a list	LinIneq
15	processfile▲	Open, read, and close one file.	Set
16	processfile▲	Open, read, and close one file.	Set
17	processtwofiles▲	Manipulate two files at the same time.	Set
18	processtwofiles2▲	Manipulate two files at the same time, version 2.	Set
19	mem	Function mem from list.ml.	LinIneq
20	exists○	Function exists from list.ml.	LinIneq
21	for_all○	Function for_all from list.ml.	LinIneq
22	rev_map○	Function rev_map from list.ml.	LinIneq
23	iter○	Function iter from list.ml.	LinIneq
24	fold_right○	Function fold_right from list.ml.	LinIneq
25	fold_left○	Function fold_left from list.ml.	LinIneq
26	map○	Function map from list.ml.	LinIneq
27	memq	Function memq from list.ml.	LinIneq
28	mem_assoc	Function mem_assoc from list.ml.	LinIneq
29	mem_assq	Function mem_assq from list.ml.	LinIneq
30	remove_assoc	Function remove_assoc from list.ml.	LinIneq
31	find_all○	Function find_all from list.ml.	LinIneq
32	partition○	Function partition from list.ml.	LinIneq
33	split	Function split from list.ml.	LinIneq
34	remove_assq	Function remove_assq from list.ml.	LinIneq
35	list_of_tree_aux▲	Auxiliary function for depth first tree fold to list.	LinIneq
36	list_of_tree▲	Function for depth first tree fold to list.	LinIneq
37	list_of_tree2▲	Function for depth first tree fold to list, version 2.	LinIneq
38	tree_of_list▲	Function for constructing a tree from a list.	LinIneq
39	tree_of_list2▲	Function for folding a tree into a list, version 2.	LinIneq
40	tree_flip▲	Function for folding a tree into a list.	LinIneq
41	qsort	Quicksort.	LinIneq
42	ins_sort	Insertion sort.	LinIneq
43	processfile2▲	Open, read, and close one file, version 2.	Set
44	processfile2▲	Open, read, and close one file, version 2.	Set
45	compose_list	Martin Hofmann's composing (or folding) all functions in a list	LinIneq
46	list_of_leaves▲	Left to right list of leaves of a tree.	LinIneq
47	left_path▲	Given a tree, the path from the leftmost leave to the root.	LinIneq
48	twice	Duplicate every element of a list.	LinIneq
49	add_if_pos	Add a number if it is a positive integer.	LinIneq
50	add_if_pos	Add a number if it is a positive integer.	LinIneq
51	fold_tree○▲	Pre-order list fold.	LinIneq
52	write_byte▲	Write byte to file.	Set
53	ack	The Ackermann function.	Term
54	chop	Chop the first n elements of a list.	Term
55	dictionary	An algebraic data type recursive manipulation.	Term
56	fold2	Fold a pair of lists.	Term
57	mccarthy91	The McCarthy 91 function.	Term
58	mult	Recursive definition of multiplication.	Term
59	rev_append	Append a list reversed.	Term
60	rev_merge	Merge two lists.	Term
61	simple-rec	A simple recursive function.	Term
62	sum	The sum of the first n naturals.	Term

○Higher order benchmark.
 ▲Benchmark on trees.
 ▲Benchmark on file descriptors.

Figure 8: Experiments.

Evaluation

- 600+ LOC
- 62 benchmarks [1–4]

- [1] M. Hofmann. A type system for bounded space and functional in-place update—extended abstract. In *ESOP*, 2000.
- [2] M. Hofmann. The strength of non-size increasing computation. In *POPL*, 2002.
- [3] M. Hofmann and S. Jost. Static prediction of heap space usage for first-order functional programs. In *POPL*, 2003.
- [4] N. Kobayashi. Types and higher-order recursion schemes for verification of higher-order programs. In *POPL*, 2009.

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Evaluation: benchmarks

- Recursion
- Higher-order functions
- Algebraic data types
- Abstract data types

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8	append	List append.	LinIneq
9	split_by	Split list.	LinIneq
10	append	List append.	LinIneq
11	breadth_aux▲	Breadth first tree fold auxiliary function.	LinIneq
12	breadth▲	Breadth first tree fold function.	LinIneq
13	app_tail◊	Apply function to tail of list.	LinIneq
14	compose_list◊	Martin Hofmann's composing (or folding) all functions in a list	LinIneq
15	processfile▲	Open, read, and close one file.	Set
16	processfile▲	Open, read, and close one file.	Set
17	processtwofiles▲	Manipulate two files at the same time.	Set
18	processtwofiles2▲	Manipulate two files at the same time, version 2.	Set
19	mem	Function mem from list.ml.	LinIneq
20	exists◊	Function exists from list.ml.	LinIneq
21	for_all◊	Function for_all from list.ml.	LinIneq
22	rev_map◊	Function rev_map from list.ml.	LinIneq
23	iter◊	Function iter from list.ml.	LinIneq
24	fold_right◊	Function fold_right from list.ml.	LinIneq
25	fold_left◊	Function fold_left from list.ml.	LinIneq
26	map◊	Function map from list.ml.	LinIneq
27	map◊	Function map from list.ml.	LinIneq
28	mem_assoc	Function mem_assoc from list.ml.	LinIneq
29	mem_assoc	Function mem_assoc from list.ml.	LinIneq
30	remove_assoc	Function remove_assoc from list.ml.	LinIneq
31	find_all◊	Function find_all from list.ml.	LinIneq
32	partition◊	Function partition from list.ml.	LinIneq
33	split	Function split from list.ml.	LinIneq
34	remove_assq	Function remove_assq from list.ml.	LinIneq
35	list_of_tree_aux▲	Auxiliary function for depth first tree fold to list.	LinIneq
36	list_of_tree▲	Function for depth first tree fold to list.	LinIneq
37	list_of_tree2▲	Function for depth first tree fold to list, version 2.	LinIneq
38	tree_of_list▲	Function for constructing a tree from a list.	LinIneq
39	tree_of_list2▲	Function for folding a tree into a list, version 2.	LinIneq
40	tree_flip▲	Function for folding a tree into a list.	LinIneq
41	qsort	Quicksort.	LinIneq
42	ins_sort	Insertion sort.	LinIneq
43	processfile2▲	Open, read, and close one file, version 2.	Set
44	processfile2▲	Open, read, and close one file, version 2.	Set
45	compose_list	Martin Hofmann's composing (or folding) all functions in a list	LinIneq
46	list_of_leaves▲	Left to right list of leaves of a tree.	LinIneq
47	left_path▲	Given a tree, the path from the leftmost leaf to the root.	LinIneq
48	twice	Duplicate every element of a list.	LinIneq
49	add_if_pos	Add a number if it is a positive integer.	LinIneq
50	add_if_pos	Add a number if it is a positive integer.	LinIneq
51	fold_tree◊▲	Pre-order list fold.	LinIneq
52	write_byte▲	Write byte to file.	Set
53	ack	The Ackermann function.	Term
54	chop	Chop the first n elements of a list.	Term
55	dictionary	An algebraic data type recursive manipulation.	Term
56	fold2	Fold a pair of lists.	Term
57	mccarthy91	The McCarthy 91 function.	Term
58	mult	Recursive definition of multiplication.	Term
59	rev_append	Append a list reversed.	Term
60	rev_merge	Merge two lists.	Term
61	simple-rec	A simple recursive function.	Term
62	sum	The sum of the first n naturals.	Term

◊Higher order benchmark.
 ▲Benchmark on trees.
 ▲Benchmark on file descriptors.

Figure 8: Experiments.

Evaluation: properties

- Safety and termination
- Linear inequalities over values and measures
- Inclusion checks over sets of program values
- Ranking function/transition invariant checks

#	Name	Description	Class
1	rev_aux	List reversal helper function.	LinIneq
2	reverse	List reversal function.	LinIneq
3	ins	Ordered insert function	LinIneq
4	clone	Create a pair of copies of a list.	LinIneq
5	tpo	Insert an element in every third position of a list.	LinIneq
6	sec	Remove every third element from a list.	LinIneq
7	tos	Replace each third element of a list.	LinIneq
8	append	List append.	LinIneq
9	split_by	Split list.	LinIneq
10	append	List append.	LinIneq
11	breadth_aux▲	Breadth first tree fold auxiliary function.	LinIneq
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24	fold_right◊	Function fold_right from list.ml.	LinIneq
25	fold_left◊	Function fold_left from list.ml.	LinIneq
26	map◊	Function map from list.ml.	LinIneq
27	memq	Function memq from list.ml.	LinIneq
28	mem_assoc	Function mem_assoc from list.ml.	LinIneq
29	mem_assq	Function mem_assq from list.ml.	LinIneq
30	remove_assoc	Function remove_assoc from list.ml.	LinIneq
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◊Higher order benchmark.
 ▲Benchmark on trees.
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Figure 8: Experiments.

Demo

Conclusion

- Monitoring
- Product construction
- Evaluation