

Parity Games

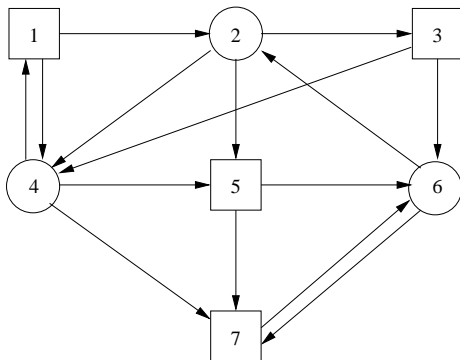
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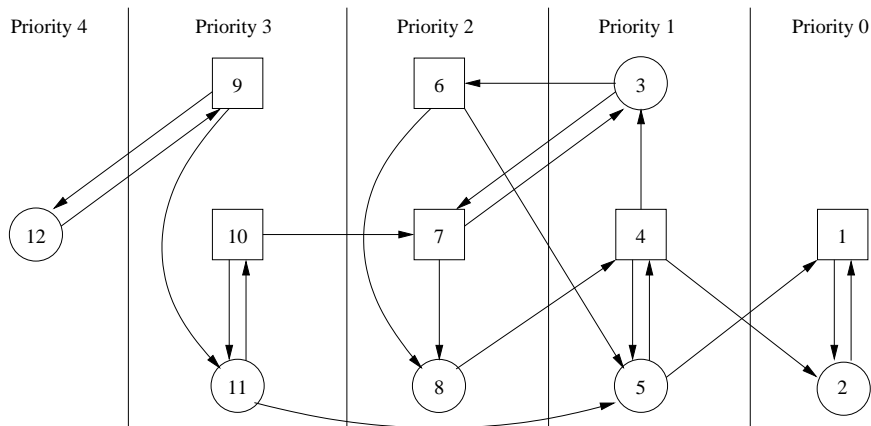
Homework

1. Consider the game graph shown below. Let the winning condition for Player 0 be $\text{Occ}(\rho) = \{1, 2, 3, 4, 5, 6, 7\}$.
 1. Find the winning region for Player 0 and describe a winning strategy
 2. Show that there is no positional winning strategy for Player 0.



Homework

2. Compute the winning regions and the corresponding positional winning strategies for Player 0 and 1 in this weak-parity game.



Homework

3. A winning strategy is called *uniform* if it is a winning strategy from every winning state in the game. Let (G, p) be a weak parity game and let W_0 be the winning region of Player 0. For all $s \in W_0$ let f_s be a positional winning strategy from s for Player 0. Construct a uniform winning strategy f from the strategies f_s meaning that for every $s \in W_0$ there is a $t \in W_0$, s.t. $f(s) = f_t(s)$.

Parity Games

A **Parity game** is a pair (G, p) , where

- ▶ $G = (S, S_0, E)$ is a game graph and
- ▶ $p : S \rightarrow \{0, \dots, k\}$ is a priority function mapping every state in S to a number in $\{0, \dots, k\}$.

A play ρ is winning for Player 0 iff the minimum priority visited infinitely often in ρ is even: $\min_{s \in \text{Inf}(\rho)} p(s)$ is even.

Parity Games

Theorem

1. *Parity games are determined (i.e., each state belongs to W_0 or W_1), and the winner from a given state has a positional winning strategy.*
2. *Over finite graphs, the winning regions and winning strategies of the two players can be computed in (at most) exponential time in the number of vertices of the game graph.*

Proof

Given $G = (S, S_0, E)$ with priority function $p : S \rightarrow \{0, \dots, k\}$. We proceed by induction on the number of states denoted by n .

- ▶ **Basis case:** we either have one Player-0 or Player-1 state with a selfloop (Note that every state in a game has at least one outgoing edge). Then the priority of the state determines if $S = W_0$ or $S = W_1$.
- ▶ **Induction step:** Let $P_i = \{s \mid p(s) = i\}$ be the set of states with priority i . Assume $P_0 \neq \emptyset$, otherwise assume $P_1 \neq \emptyset$ and switch the roles of Players 0 and 1 below. Finally, if $P_0 = P_1 = \emptyset$ decrease every priority by 2.

Proof (induction step cont.)

Choose $s \in P_0$ and let $X = \text{Attr}_0(\{s\})$. Note that $S \setminus X$ is a subgame with $< n$ states.

The induction hypothesis gives a partition of $S \setminus X$ into winning regions U_0 and U_1 for Player 0 and 1, respectively, and corresponding positional winning strategies.

- ▶ **Case 1:** Player 0 can guarantee a transition from s to $U_0 \cup X$, i.e., if $s \in S_0$, then there exists $s' \in U_0 \cup X$ such that $(s, s') \in E$ or if $s \in S_1$, then for all $(s, s') \in E$, $s' \in U_0 \cup X$ holds.

Claim:

- (i) $U_0 \cup X \subseteq W_0$
- (ii) $U_1 \subseteq W_1$.

Proof (Case 1 cont.)

The positional strategy for Player 0 on $U_0 \cup X$ is:

1. On U_0 play according to the positional strategy given by the induction hypothesis
2. On $X (= \text{Attr}_0(\{s\}))$ play according to the attractor strategy.
Then eventually reach s
3. From s “move back” to $U_0 \cup X$.

For Player 1 use the positional strategy on U_1 given by the induction hypothesis.

Proof of claim: (ii) is clear, since starting in U_1 Player 1 can guarantee that the play remains in U_1 (see picture). For (i), the play remains in $U_0 \cup X$ if the strategy for state s is followed. If the play eventually remains in U_0 , then Player 0 wins by induction hypothesis, otherwise the play passes through s infinitely often, which is winning as well.

Proof (Case 2)

- **Case 2:** Player 1 can guarantee a transition to U_1 from s , i.e., if $s \in S_0$, then all edges $(s, s') \in E$ lead to U_1 ($s' \in U_1$), and if $s \in S_1$, then there exists $s' \in U_1$ such that $(s, s') \in E$.

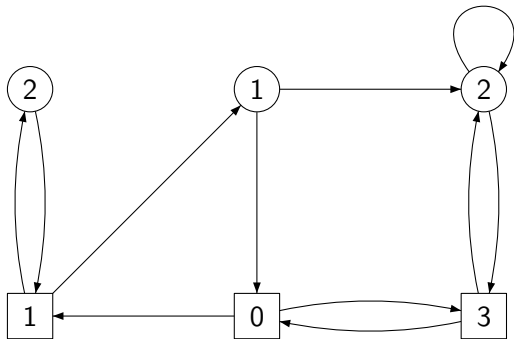
Let $Y = \text{Attr}_1(U_1)$, then $s \in Y$ and $S \setminus Y$ is a subgame with $< n$ states. The induction hypothesis gives winning region V_0 and V_1 and corresponding positional winning strategies.

Claim:

- (i) $V_0 \subseteq W_0$
- (ii) $V_1 \cup Y \subseteq W_1$.

Proof of claim: (i) is clear, since Player 0 can guarantee to stay within V_0 . For (ii), for all states in Y , Player 1 can guarantee to move to U_1 and remain there. From $t \in V_1$ Player 0 can either move to Y or stay in V_1 . Both choices are winning for Player 1.

Example



Complexity

Solve(G)=	$T(n)$
1. Pick $s + (U_0, U_1)$ =Solve($G \setminus \text{Attr}_*({s})$)	$O(m) + T(n - 1)$
2.a If s has edge to $U_* \cup \text{Attr}_*({s})$ then DONE	
2.b else Solve($G \setminus \text{Attr}_*(U_*)$)	$T(n - 1)$

Recurrence relation for time complexity:

$$T(n) \leq O(m) + 2 \cdot T(n - 1)$$

Hence, $T(n) = O(m \cdot 2^n)$.

A more careful analysis give: $T(n) = O((\frac{n}{d})^d)$

Note that the exact complexity class of parity games is still an open question.

Next, we show that parity games are in $\text{NP} \cap \text{co-NP}$.

Uniform Positional Strategies

Theorem

Given a parity game over $G = (S, S_0, E)$, there is a single positional strategy f such that from each $s \in W_0$ the strategy f is a winning strategy for Player 0 from s .

Proof.

Number the states by natural numbers. Denote by s_i the state with number i . For $s_i \in W_0$ choose a corresponding positional winning strategy f_i . Let F_i be the set of reachable states by plays from s_i according to f_i (Note: $F_i \subseteq W_0$ and $s_i \in F_i$)

Merging Strategies

Define f on W_0 as follows: $f(s) = f_i(s)$ for the smallest i such that $s \in F_i$.

Show that f is a winning strategy from any $s \in W_0$.

Applying f during a play means to apply strategies f_i where i is weakly decreasing. From some point k onwards, index i stays constant (at the latest when $i = 0$), i.e. the f -values coincide with the f_i -values. The highest colour occurring infinitely often in the play is thus determined by the fixed strategy f_i .

Since f_i is a winning strategy, Player 0 wins the play.

Parity Games are in $\text{NP} \cap \text{co-NP}$

Given a game (G, p) with $G = (S, S_0, E)$ and $p : S \rightarrow \{0, \dots, d\}$, decide if $s \in W_0$.

- ▶ First, guess a uniform strategy f for Player 0 (= a set of Player-0 edges \rightarrow polynomial size)
- ▶ Restrict the game to f
- ▶ Check if f is a winning strategy from s . This can be done in polynomial time as follows: for all odd $i \in \{0, \dots, d\}$, consider the graph with the states $\bigcup_{j=i \dots d} P_j$, compute the SCC and check if there exists a SCC C s.t. $C \cap P_i \neq \emptyset$ (meaning that there exists a strategy for Player 1 to force a cycle with an odd minimal priority $\rightarrow f$ is not winning).

Small Parity Progress Measure Algorithm

- ▶ **Idea:** for each state count how many visits Player 1 can force to an odd priority, without visiting a lower even priority.
- ▶ **Notation:**
 - ▶ we will use tuples $\vec{v} \in \mathbb{N}^d$ of natural numbers as our counters, each component represents one priority.
 - ▶ Given two tuples \vec{v} and \vec{w} , we use the lexicographic order for the comparison symbols $<, \leq, =, \neq, \geq, >$, e.g., $(1, 0, 3) < (1, 1, 4)$.
 - ▶ We will also use truncated versions $<_i, \leq_i, =_i, \neq_i, \geq_i, >_i$, they denote the lexicographic ordering on \mathbb{N}^i applied to the first i components, e.g., $(2, 3, 0) >_2 (2, 2, 4)$ but $(2, 3, 0) =_0 (2, 2, 4)$.

Small Parity Progress Measure

Definition

Let $((S, S_0, E), p)$ be a parity game with $p : S \rightarrow \{0, \dots, d-1\}$. A function $g : S \rightarrow \mathbb{N}^d$ is a **parity progress measure** if for all $(s, s') \in E$,

- ▶ $g(s) \geq_{p(s)} g(s')$ and
- ▶ $g(s) >_{p(s)} g(s')$ if $p(s)$ is odd, holds.

Remark: If there is a parity progress measure for a parity graph G then all cycles in G have an even minimal priority.

Proof of remark: Let $g : S \rightarrow \mathbb{N}^d$ be a parity progress measure for G . Suppose that there is an odd cycle s_1, s_2, \dots, s_l in G , and let $i = p(s_1)$ be the smallest priority on this cycle. Then, by the definition of progress measure we have $g(s_1) >_i g(s_2) \geq_i \dots \geq_i g(s_l) \geq_i g(s_1)$, and hence $g(s_1) >_i g(s_1)$ contradicting the assumption.

Small Parity Progress Measure

Let (G, p) be a parity game and let $P_i = \{s \in S \mid p(s) = i\}$ be the set of states with priority $i \in \{0, \dots, d-1\}$.

We define $M_G \subset \mathbb{N}^d$ as

$$M_G = \{0, 1\} \times \{0, 1, \dots, |P_1| + 1\} \times \{0, 1\} \times \dots \times \{0, 1, \dots, |P_{d-1}| + 1\}$$

Theorem

If all cycles in a parity graph G are even then there is a parity progress measure solving $g : S \rightarrow M_G$ for G .

Proof.

We prove the theorem by induction on $|S|$. (In order to be successful with an inductive proof, we add the claim that if $p(s)$ is odd, then $g(s) >_{p(s)} (0, \dots, 0)$.)

► **Base case:** if $|S| = 1$, the theorem holds trivially

Small Parity Progress Measure

► Induction step:

- Assume $P_0 \neq \emptyset$. By induction hypothesis there is a parity progress measure $g : S \setminus P_0 \rightarrow M_G$ for the game graph with states $S \setminus P_0$. Setting $g(s) = (0, \dots, 0) \in M_G$, for all $s \in P_0$, we get a parity progress measure for G .
- Assume $P_0 = \emptyset$ and $P_1 \neq \emptyset$. We claim that is a non-trivial partition (W_1, W_2) of S , s.t. there is no edges from W_1 to W_2 . Let $u \in P_1$ and define $U \subseteq S$ be the states to which there is a non-trivial path from u . If $U = \emptyset$, then $W_1 = \{u\}$ and $W_2 = S \setminus \{u\}$ is a desired partition, otherwise let $W_1 = U$ and $W_2 = S \setminus U$. W_2 is not empty because $u \notin U$ (otherwise there would be an odd cycle).

Small Parity Progress Measure

- ▶ (Cont.) By induction we get the parity progress measures g_1 and g_2 for the subgraph $S \cap W_1$ and $S \cap W_2$. From $|P_i| = |P_i \cap W_1| + |P_i \cap W_2|$ and the additional claim, it follows that $g = g_1 \cup (g_2 + (0, |P_1 \cap W_1|, 0, |P_3 \cap W_1|, \dots))$ is a desired progress measure.
- ▶ Assume $P_0 = P_1 = \emptyset$, reduce all priorities by 2.

Game Parity Progress Measure

Let M_G^T be the set $M_G \cup \{\top\}$, in which \top is defined to be the largest element in the lexicographic order. We denote by $M(g, s, s')$ the least $m \in M_G^T$ such that

- ▶ $m \geq_{p(s)} g(s')$ and
- ▶ $m >_{p(s)} g(s')$ if $p(s)$ is odd or $m = g(s') = \top$

Definition

A function $g : S \rightarrow M_G^T$ is a **game parity progress measure** if for all $s \in S$, we have

- ▶ if $s \in S_0$, then there exists $(s, s') \in E$ s.t. $g(s) \geq_{p(s)} M(g, s, s')$,
- ▶ if $s \in S_1$, then for all $(s, s') \in E$, we have $g(s) \geq_{p(s)} M(g, s, s')$.

We denote by $\|g\|$ the set $\{s \in S \mid g(s) \neq \top\}$.

Small Parity Progress Measure

For every game parity progress measure g , we define a strategy $\tilde{g} : S_0 \rightarrow S$ for Player 0 by setting $\tilde{g}(s)$ to be a successor s' with a minimal $g(s')$.

Theorem

If g is a game parity progress measure then \tilde{g} is a winning strategy for Player 0 from $\|g\|$.

Proof.

Note g is a parity progress measure on $\|g\|$. Hence, all simple cycles in $S \cap \|g\|$ are even. It also follows from definition of a game parity progress measure that \tilde{g} refers only to states in $\|g\|$. □

Small Parity Progress Measure

Theorem

There is a game progress measure $g : S \rightarrow M_G^\top$ such that $\|g\|$ is the winning region W_0 of Player 0.

Proof.

We know that there is a winning strategy f for Player 0 from her winning region, s.t. all cycles in G_f are even, hence, there is a parity progress measure $g : W_0 \rightarrow M_G$ on the game graph with state W_0 . It follows that setting $g(s) = \top$ for all $s \in S \setminus W_0$ makes g a game parity progress measure. □

Small Parity Progress Measure

First, we define an ordering and a family of $\text{Lift}(\cdot, s)$ operators on the set of functions $S \rightarrow M_G^\top$. Given two functions $g, g' : S \rightarrow M_G^\top$, we define $g \leq g'$ if $g(s) \leq g'(s)$ for all $s \in S$ and $g < g'$ if $g \leq g'$ and $g \neq g'$. (The order defines a complete lattice).

$$\text{Lift}(g, s)(t) = \begin{cases} g(t) & \text{if } s \neq t \\ \max\{g(s), \min_{(s,s') \in E} M(g, s, s')\} & \text{if } s = t \in S_0 \\ \max\{g(s), \max_{(s,s') \in E} M(g, s, s')\} & \text{if } s = t \in S_1 \end{cases}$$

Note that the following propositions follow immediately from the definitions of game parity progress measure.

- (1) For every $s \in S$, the operator $\text{Lift}(\cdot, s)$ is \leq -monotone.
- (2) A function $g : S \rightarrow M_G^\top$ is a game parity progress measure iff $\text{Lift}(g, s) \leq g$ for all $s \in S$.

Small Parity Progress Measure

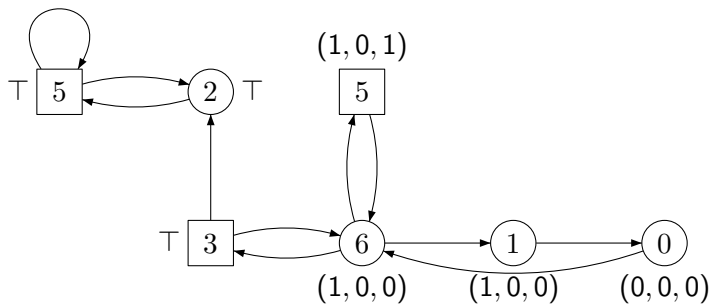
Finally, a simple fixpoint algorithm:

```
 $g := \lambda s \in S.(0, \dots, 0)$   
while  $g < \text{Lift}(g, s)$  for some  $s \in S$  do  
     $g := \text{Lift}(g, s)$ 
```

Complexity [Jurdzinski 2000]:

The algorithm runs in $O(dn)$ space and $O(dm \cdot \binom{n}{\lfloor d/2 \rfloor}^{\text{floor}(d/2)})$ time.

Example+Final Progress Measure



Strategy Improvement

Preparation:

Recall, if players 0 and 1 fix positional strategies f and g , then from each state s a play $G_{f,g}$ is fixed and the winner depends on values in the loop.

Idea: Determine a value $v(s)$ based on $G_{f,g}$

Here v is a **valuation function** $v : S \rightarrow D$ into some value domain D , which is ordered by a preference order.

Format of Strategy Improvement

Given: Priority game graph G , valuation function v

1. Pick two strategies f, g for Players 0 and 1
2. Determine the values $v(s)$ for all $s \in S$, referring to the plays $G_{f,g}$
3. Change strategy f of Player 0 by local improvement: For each S_0 -state, choose the out-edge leading to the neighbour states with highest value (by preference order)
4. Given the new f find the optimal response strategy of Player 1 and use it as new strategy g
5. If the new strategies coincide with the previous strategies, then stop; otherwise go back to 2.

Play Profiles (Vöge, Jurdzinski)

Assumption: The states are numbered, and the numbers are the priorities.

Preference order \prec for states $1, \dots, 8$:

$$1 \prec 3 \prec 5 \prec 8 \prec 6 \prec 4 \prec 2 \prec 0$$

Terminology: The most relevant state of $G_{f,g}$ is the state with the lowest priority in the loop of $G_{f,g}$.

The **play profile** of $G_{f,g}$ starting from s is the triple (r, P, d) with

- ▶ r is the most relevant state of $G_{f,g}$
- ▶ P is the set of lower valued states on the path from s to (and excluding) r
- ▶ d is the distance between s and r on this path

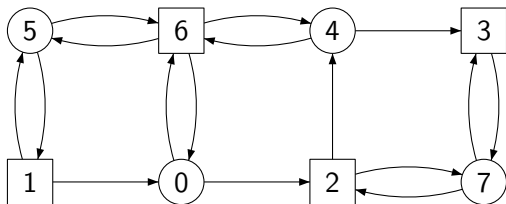
Comparison of Play Profiles

The Preference order is extended from states to play profiles:

$(r, P, d) \prec (r', P', d')$ iff

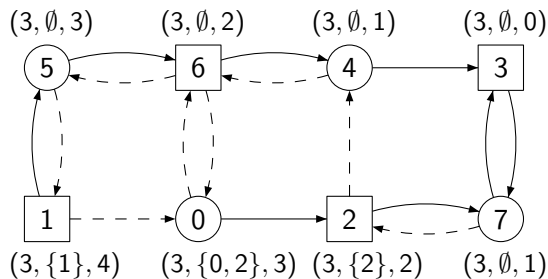
1. $r \prec r'$, or
2. $r = r'$ and the lowest state in the symmetric difference of P, P' is even and belongs to P' , or it is odd and belongs to P , or
3. $r = r'$ and $P = P'$ and $d < d'$ if r is odd, or $d' < d$ if r is even.

Example



$f_0, g_0 : 1 \rightarrow 5, 5 \rightarrow 6, 6 \rightarrow 4, 4 \rightarrow 3, 3 \rightarrow 7, 7 \rightarrow 3, 0 \rightarrow 2, 2 \rightarrow 7$

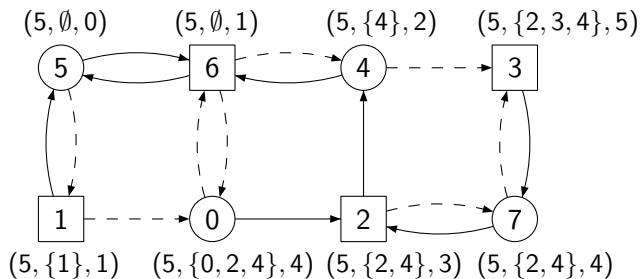
Example



Improve f : $4 \rightarrow 6$ and $7 \rightarrow 2$

Best counterstrategy: $1 \rightarrow 5$, $6 \rightarrow 5$, $2 \rightarrow 4$, $3 \rightarrow 7$.

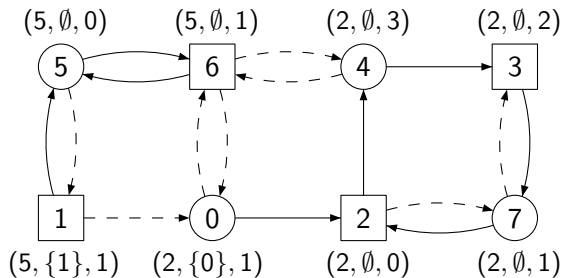
Example



Improve: $4 \rightarrow 3$

Best counterstrategy does not change.

Example



$$W_0 = \{0, 2, 3, 4, 7\}$$

$$W_1 = \{1, 5, 6\}$$

Theorem (Vöge, Jurdzinski)

With the valuation by play profiles, the strategy algorithm terminates producing strategies f and g for Players 0 and 1 such that

- ▶ $s \in W_0$ ($s \in W_1$) *iff the play $G_{f,g}$ ends in a loop with even (respectively, odd) lowest state*
- ▶ f and g *are winning strategies for Player 0, respectively 1, from the states in W_0 , respectively W_1 .*

Complexity Properties:

- ▶ Each improvement round costs polynomial time
- ▶ The number of improvement steps is bounded by the number of possible strategies
- ▶ Overall improvement steps?